

B1 (Official Form 1)(04/13)

<b>United States Bankruptcy Court</b> <b>Eastern District of North Carolina</b>		<b>Voluntary Petition</b>	
Name of Debtor (if individual, enter Last, First, Middle): <b>Advanced Lighting Technologies, LLC</b>		Name of Joint Debtor (Spouse) (Last, First, Middle):	
All Other Names used by the Debtor in the last 8 years (include married, maiden, and trade names):		All Other Names used by the Joint Debtor in the last 8 years (include married, maiden, and trade names):	
Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN)/Complete EIN (if more than one, state all) <b>26-2210455</b>		Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN) No./Complete EIN (if more than one, state all)	
Street Address of Debtor (No. and Street, City, and State): <b>118 Peter Gill Rd. Henderson, NC</b>		Street Address of Joint Debtor (No. and Street, City, and State):	
ZIP Code <b>27537</b>		ZIP Code	
County of Residence or of the Principal Place of Business: <b>Vance</b>		County of Residence or of the Principal Place of Business:	
Mailing Address of Debtor (if different from street address):		Mailing Address of Joint Debtor (if different from street address):	
ZIP Code		ZIP Code	
Location of Principal Assets of Business Debtor (if different from street address above):			
<b>Type of Debtor</b> (Form of Organization) (Check one box) <input type="checkbox"/> Individual (includes Joint Debtors) <i>See Exhibit D on page 2 of this form.</i> <input checked="" type="checkbox"/> Corporation (includes LLC and LLP) <input type="checkbox"/> Partnership <input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)		<b>Nature of Business</b> (Check one box) <input type="checkbox"/> Health Care Business <input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101 (51B) <input type="checkbox"/> Railroad <input type="checkbox"/> Stockbroker <input type="checkbox"/> Commodity Broker <input type="checkbox"/> Clearing Bank <input checked="" type="checkbox"/> Other	
<b>Chapter 15 Debtors</b> Country of debtor's center of main interests:  Each country in which a foreign proceeding by, regarding, or against debtor is pending:		<b>Chapter of Bankruptcy Code Under Which the Petition is Filed</b> (Check one box) <input type="checkbox"/> Chapter 7 <input type="checkbox"/> Chapter 9 <input checked="" type="checkbox"/> Chapter 11 <input type="checkbox"/> Chapter 12 <input type="checkbox"/> Chapter 13 <input type="checkbox"/> Chapter 15 Petition for Recognition of a Foreign Main Proceeding <input type="checkbox"/> Chapter 15 Petition for Recognition of a Foreign Nonmain Proceeding	
<b>Filing Fee</b> (Check one box) <input checked="" type="checkbox"/> Full Filing Fee attached <input type="checkbox"/> Filing Fee to be paid in installments (applicable to individuals only). Must attach signed application for the court's consideration certifying that the debtor is unable to pay fee except in installments. Rule 1006(b). See Official Form 3A. <input type="checkbox"/> Filing Fee waiver requested (applicable to chapter 7 individuals only). Must attach signed application for the court's consideration. See Official Form 3B.		<b>Chapter 11 Debtors</b> Check one box: <input type="checkbox"/> Debtor is a small business debtor as defined in 11 U.S.C. § 101(51D). <input checked="" type="checkbox"/> Debtor is not a small business debtor as defined in 11 U.S.C. § 101(51D). Check if: <input checked="" type="checkbox"/> Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,490,925 (amount subject to adjustment on 4/01/16 and every three years thereafter). Check all applicable boxes: <input type="checkbox"/> A plan is being filed with this petition. <input type="checkbox"/> Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).	
<b>Statistical/Administrative Information</b> <input checked="" type="checkbox"/> Debtor estimates that funds will be available for distribution to unsecured creditors. <input type="checkbox"/> Debtor estimates that, after any exempt property is excluded and administrative expenses paid, there will be no funds available for distribution to unsecured creditors.		THIS SPACE IS FOR COURT USE ONLY	
<b>Estimated Number of Creditors</b> <input checked="" type="checkbox"/> 1-49 <input type="checkbox"/> 50-99 <input type="checkbox"/> 100-199 <input type="checkbox"/> 200-999 <input type="checkbox"/> 1,000-5,000 <input type="checkbox"/> 5,001-10,000 <input type="checkbox"/> 10,001-25,000 <input type="checkbox"/> 25,001-50,000 <input type="checkbox"/> 50,001-100,000 <input type="checkbox"/> OVER 100,000			
<b>Estimated Assets</b> <input checked="" type="checkbox"/> \$0 to \$50,000 <input type="checkbox"/> \$50,001 to \$100,000 <input type="checkbox"/> \$100,001 to \$500,000 <input type="checkbox"/> \$500,001 to \$1 million <input type="checkbox"/> \$1,000,001 to \$10 million <input type="checkbox"/> \$10,000,001 to \$50 million <input type="checkbox"/> \$50,000,001 to \$100 million <input type="checkbox"/> \$100,000,001 to \$500 million <input type="checkbox"/> \$500,000,001 to \$1 billion <input type="checkbox"/> More than \$1 billion			
<b>Estimated Liabilities</b> <input type="checkbox"/> \$0 to \$50,000 <input type="checkbox"/> \$50,001 to \$100,000 <input type="checkbox"/> \$100,001 to \$500,000 <input type="checkbox"/> \$500,001 to \$1 million <input checked="" type="checkbox"/> \$1,000,001 to \$10 million <input type="checkbox"/> \$10,000,001 to \$50 million <input type="checkbox"/> \$50,000,001 to \$100 million <input type="checkbox"/> \$100,000,001 to \$500 million <input type="checkbox"/> \$500,000,001 to \$1 billion <input type="checkbox"/> More than \$1 billion			

<b>Voluntary Petition</b> <i>(This page must be completed and filed in every case)</i>		Name of Debtor(s): <b>Advanced Lighting Technologies, LLC</b>	
<b>All Prior Bankruptcy Cases Filed Within Last 8 Years</b> (If more than two, attach additional sheet)			
Location Where Filed: <b>- None -</b>	Case Number:	Date Filed:	
Location Where Filed:	Case Number:	Date Filed:	
<b>Pending Bankruptcy Case Filed by any Spouse, Partner, or Affiliate of this Debtor</b> (If more than one, attach additional sheet)			
Name of Debtor: <b>- None -</b>	Case Number:	Date Filed:	
District:	Relationship:	Judge:	
<b>Exhibit A</b>  (To be completed if debtor is required to file periodic reports (e.g., forms 10K and 10Q) with the Securities and Exchange Commission pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 and is requesting relief under chapter 11.)  <input type="checkbox"/> Exhibit A is attached and made a part of this petition.		<b>Exhibit B</b> (To be completed if debtor is an individual whose debts are primarily consumer debts.) I, the attorney for the petitioner named in the foregoing petition, declare that I have informed the petitioner that [he or she] may proceed under chapter 7, 11, 12, or 13 of title 11, United States Code, and have explained the relief available under each such chapter. I further certify that I delivered to the debtor the notice required by 11 U.S.C. §342(b).  <b>X</b> _____ Signature of Attorney for Debtor(s) (Date)	
<b>Exhibit C</b>			
Does the debtor own or have possession of any property that poses or is alleged to pose a threat of imminent and identifiable harm to public health or safety? <input type="checkbox"/> Yes, and Exhibit C is attached and made a part of this petition. <input checked="" type="checkbox"/> No.			
<b>Exhibit D</b>			
(To be completed by every individual debtor. If a joint petition is filed, each spouse must complete and attach a separate Exhibit D.) <input type="checkbox"/> Exhibit D completed and signed by the debtor is attached and made a part of this petition. If this is a joint petition: <input type="checkbox"/> Exhibit D also completed and signed by the joint debtor is attached and made a part of this petition.			
<b>Information Regarding the Debtor - Venue</b> (Check any applicable box)			
<input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in this District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> There is a bankruptcy case concerning debtor's affiliate, general partner, or partnership pending in this District. <input type="checkbox"/> Debtor is a debtor in a foreign proceeding and has its principal place of business or principal assets in the United States in this District, or has no principal place of business or assets in the United States but is a defendant in an action or proceeding [in a federal or state court] in this District, or the interests of the parties will be served in regard to the relief sought in this District.			
<b>Certification by a Debtor Who Resides as a Tenant of Residential Property</b> (Check all applicable boxes)			
<input type="checkbox"/> Landlord has a judgment against the debtor for possession of debtor's residence. (If box checked, complete the following.)  <div style="text-align: center;">           _____            (Name of landlord that obtained judgment)         </div>  <div style="text-align: center;">           _____            (Address of landlord)         </div>			
<input type="checkbox"/> Debtor claims that under applicable nonbankruptcy law, there are circumstances under which the debtor would be permitted to cure the entire monetary default that gave rise to the judgment for possession, after the judgment for possession was entered, and <input type="checkbox"/> Debtor has included with this petition the deposit with the court of any rent that would become due during the 30-day period after the filing of the petition. <input type="checkbox"/> Debtor certifies that he/she has served the Landlord with this certification. (11 U.S.C. § 362(l)).			

**Voluntary Petition***(This page must be completed and filed in every case)*

Name of Debtor(s):

**Advanced Lighting Technologies, LLC****Signatures****Signature(s) of Debtor(s) (Individual/Joint)**

I declare under penalty of perjury that the information provided in this petition is true and correct.

[If petitioner is an individual whose debts are primarily consumer debts and has chosen to file under chapter 7] I am aware that I may proceed under chapter 7, 11, 12, or 13 of title 11, United States Code, understand the relief available under each such chapter, and choose to proceed under chapter 7. [If no attorney represents me and no bankruptcy petition preparer signs the petition] I have obtained and read the notice required by 11 U.S.C. §342(b).

I request relief in accordance with the chapter of title 11, United States Code, specified in this petition.

**X** \_\_\_\_\_  
Signature of Debtor

**X** \_\_\_\_\_  
Signature of Joint Debtor

\_\_\_\_\_  
Telephone Number (If not represented by attorney)

\_\_\_\_\_  
Date

**Signature of a Foreign Representative**

I declare under penalty of perjury that the information provided in this petition is true and correct, that I am the foreign representative of a debtor in a foreign proceeding, and that I am authorized to file this petition.

(Check only one box.)

☐ I request relief in accordance with chapter 15 of title 11, United States Code. Certified copies of the documents required by 11 U.S.C. §1515 are attached.

☐ Pursuant to 11 U.S.C. §1511, I request relief in accordance with the chapter of title 11 specified in this petition. A certified copy of the order granting recognition of the foreign main proceeding is attached.

**X** \_\_\_\_\_  
Signature of Foreign Representative

\_\_\_\_\_  
Printed Name of Foreign Representative

\_\_\_\_\_  
Date

**Signature of Non-Attorney Bankruptcy Petition Preparer**

I declare under penalty of perjury that: (1) I am a bankruptcy petition preparer as defined in 11 U.S.C. § 110; (2) I prepared this document for compensation and have provided the debtor with a copy of this document and the notices and information required under 11 U.S.C. §§ 110(b), 110(h), and 342(b); and, (3) if rules or guidelines have been promulgated pursuant to 11 U.S.C. § 110(h) setting a maximum fee for services chargeable by bankruptcy petition preparers, I have given the debtor notice of the maximum amount before preparing any document for filing for a debtor or accepting any fee from the debtor, as required in that section. Official Form 19 is attached.

\_\_\_\_\_  
Printed Name and title, if any, of Bankruptcy Petition Preparer

\_\_\_\_\_  
Social-Security number (If the bankruptcy petition preparer is not an individual, state the Social Security number of the officer, principal, responsible person or partner of the bankruptcy petition preparer.) (Required by 11 U.S.C. § 110.)

\_\_\_\_\_  
Address

**X** \_\_\_\_\_  
Date

Signature of bankruptcy petition preparer or officer, principal, responsible person, or partner whose Social Security number is provided above.

Names and Social-Security numbers of all other individuals who prepared or assisted in preparing this document unless the bankruptcy petition preparer is not an individual:

If more than one person prepared this document, attach additional sheets conforming to the appropriate official form for each person.

*A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both. 11 U.S.C. §110; 18 U.S.C. §156.*

**Signature of Attorney\***

**X** **/s/ Philip W. Paine** \_\_\_\_\_  
Signature of Attorney for Debtor(s)

**Philip W. Paine 31710**

\_\_\_\_\_  
Printed Name of Attorney for Debtor(s)

**Jordan Price Wall Gray Jones & Carlton**

\_\_\_\_\_  
Firm Name

**1951 Clark Avenue  
Raleigh, NC 27605**

\_\_\_\_\_  
Address

**Email: ppaine@jordanprice.com**

**919-828-2501 Fax: 919-834-8447**

\_\_\_\_\_  
Telephone Number

**January 6, 2015**

\_\_\_\_\_  
Date

\*In a case in which § 707(b)(4)(D) applies, this signature also constitutes a certification that the attorney has no knowledge after an inquiry that the information in the schedules is incorrect.

**Signature of Debtor (Corporation/Partnership)**

I declare under penalty of perjury that the information provided in this petition is true and correct, and that I have been authorized to file this petition on behalf of the debtor.

The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.

**X** **/s/ Robert M. Slack** \_\_\_\_\_  
Signature of Authorized Individual

**Robert M. Slack**

\_\_\_\_\_  
Printed Name of Authorized Individual

**Member**

\_\_\_\_\_  
Title of Authorized Individual

**January 6, 2015**

\_\_\_\_\_  
Date

B4 (Official Form 4) (12/07)

**United States Bankruptcy Court**  
**Eastern District of North Carolina**

In re **Advanced Lighting Technologies, LLC**

Debtor(s)

Case No.

Chapter

**11**

**LIST OF CREDITORS HOLDING 20 LARGEST UNSECURED CLAIMS**

Following is the list of the debtor's creditors holding the 20 largest unsecured claims. The list is prepared in accordance with Fed. R. Bankr. P. 1007(d) for filing in this chapter 11 [or chapter 9] case. The list does not include (1) persons who come within the definition of "insider" set forth in 11 U.S.C. § 101, or (2) secured creditors unless the value of the collateral is such that the unsecured deficiency places the creditor among the holders of the 20 largest unsecured claims. If a minor child is one of the creditors holding the 20 largest unsecured claims, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See 11 U.S.C. § 112; Fed. R. Bankr. P. 1007(m).

(1) <i>Name of creditor and complete mailing address including zip code</i>	(2) <i>Name, telephone number and complete mailing address, including zip code, of employee, agent, or department of creditor familiar with claim who may be contacted</i>	(3) <i>Nature of claim (trade debt, bank loan, government contract, etc.)</i>	(4) <i>Indicate if claim is contingent, unliquidated, disputed, or subject to setoff</i>	(5) <i>Amount of claim [if secured, also state value of security]</i>
Ahern Rentals P. O. Box 271390 Las Vegas, NV 89127-1390	Ahern Rentals P. O. Box 271390 Las Vegas, NV 89127-1390			33,289.99
BB&T Credit Cards 632 Dabney Drive Henderson, NC 27536	BB&T Credit Cards 632 Dabney Drive Henderson, NC 27536			8,091.53
BCBS of NC P. O. Box 580017 Charlotte, NC 28258-0017	BCBS of NC P. O. Box 580017 Charlotte, NC 28258-0017			3,906.01
CED P. O. Box 1510 Cary, NC 27512-1510	CED P. O. Box 1510 Cary, NC 27512-1510			100,266.36
Dental Blue Select ATTN: Billing Department P. O. Box 2400 Winston Salem, NC 27102	Dental Blue Select ATTN: Billing Department P. O. Box 2400 Winston Salem, NC 27102			1,017.73
Eck Supply Company P. O. Box 890250 Charlotte, NC 28289-0250	Eck Supply Company P. O. Box 890250 Charlotte, NC 28289-0250			597,301.17
Electric Supply & Equipment Company P. O. Box 601118 Charlotte, NC 28260-1118	Electric Supply & Equipment Company P. O. Box 601118 Charlotte, NC 28260-1118			4,303.09
Express Employment Professionals P. O. Box 535434 Atlanta, GA 30353-5434	Express Employment Professionals P. O. Box 535434 Atlanta, GA 30353-5434			522.64
Fastenal P. O. Box 978 Winona, MN 55987-1286	Fastenal P. O. Box 978 Winona, MN 55987-1286			2,999.00
Fred's Towing & Transport, Inc. 400 Industry Drive Henderson, NC 27537	Fred's Towing & Transport, Inc. 400 Industry Drive Henderson, NC 27537			475.00
Grainger Dept. 873408165 Palatine, IL 60038-0001	Grainger Dept. 873408165 Palatine, IL 60038-0001			2,197.34

B4 (Official Form 4) (12/07) - Cont.

In re **Advanced Lighting Technologies, LLC**

Case No. \_\_\_\_\_

Debtor(s)

**LIST OF CREDITORS HOLDING 20 LARGEST UNSECURED CLAIMS**

(Continuation Sheet)

(1) <i>Name of creditor and complete mailing address including zip code</i>	(2) <i>Name, telephone number and complete mailing address, including zip code, of employee, agent, or department of creditor familiar with claim who may be contacted</i>	(3) <i>Nature of claim (trade debt, bank loan, government contract, etc.)</i>	(4) <i>Indicate if claim is contingent, unliquidated, disputed, or subject to setoff</i>	(5) <i>Amount of claim [if secured, also state value of security]</i>
<b>Gregory Poole</b> <b>P. O. Box 60457</b> <b>Charlotte, NC 28260</b>	<b>Gregory Poole</b> <b>P. O. Box 60457</b> <b>Charlotte, NC 28260</b>			<b>820.57</b>
<b>InServ Corporation</b> <b>121 Dickens Road</b> <b>Fuquay Varina, NC 27526</b>	<b>InServ Corporation</b> <b>121 Dickens Road</b> <b>Fuquay Varina, NC 27526</b>			<b>1,417.22</b>
<b>Mobile Mini, Inc.</b> <b>P. O. Box 740773</b> <b>Cincinnati, OH 45274-0773</b>	<b>Mobile Mini, Inc.</b> <b>P. O. Box 740773</b> <b>Cincinnati, OH 45274-0773</b>			<b>2,237.81</b>
<b>Moveable Cubicle</b> <b>6404 Falls of Neuse Road</b> <b>Suite 200</b> <b>Raleigh, NC 27615</b>	<b>Moveable Cubicle</b> <b>6404 Falls of Neuse Road</b> <b>Suite 200</b> <b>Raleigh, NC 27615</b>			<b>347.68</b>
<b>O'Reilly Auto</b> <b>P. O. Box 9464</b> <b>Springfield, MO 65801-9464</b>	<b>O'Reilly Auto</b> <b>P. O. Box 9464</b> <b>Springfield, MO 65801-9464</b>			<b>556.71</b>
<b>Robert Campbell</b> <b>7 Ector Street, Apt D</b> <b>Asheville, NC 28806</b>	<b>Robert Campbell</b> <b>7 Ector Street, Apt D</b> <b>Asheville, NC 28806</b>			<b>39,500.00</b>
<b>Vance County Tax Office</b> <b>122 Young Street</b> <b>Suite E</b> <b>Henderson, NC 27536</b>	<b>Vance County Tax Office</b> <b>122 Young Street</b> <b>Suite E</b> <b>Henderson, NC 27536</b>			<b>5,716.54</b>
<b>Wells Fargo</b> <b>P. O. Box 6434</b> <b>Carol Stream, IL 60197-6434</b>	<b>Wells Fargo</b> <b>P. O. Box 6434</b> <b>Carol Stream, IL 60197-6434</b>			<b>583.52</b>
<b>William L. Stark and Company</b> <b>P. O. Box 911</b> <b>Henderson, NC 27536</b>	<b>William L. Stark and Company</b> <b>P. O. Box 911</b> <b>Henderson, NC 27536</b>			<b>20,285.81</b>

**DECLARATION UNDER PENALTY OF PERJURY  
ON BEHALF OF A CORPORATION OR PARTNERSHIP**

I, the Member of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing list and that it is true and correct to the best of my information and belief.

Date **January 6, 2015**

Signature **/s/ Robert M. Slack**  
**Robert M. Slack**  
**Member**

*Penalty for making a false statement or concealing property:* Fine of up to \$500,000 or imprisonment for up to 5 years or both.  
 18 U.S.C. §§ 152 and 3571.

**United States Bankruptcy Court  
Eastern District of North Carolina**

In re **Advanced Lighting Technologies, LLC**

Debtor(s)

Case No.

Chapter

**11**

**VERIFICATION OF CREDITOR MATRIX**

I, the Member of the corporation named as the debtor in this case, hereby verify that the attached list of creditors is true and correct to the best of my knowledge.

Date: **January 6, 2015**

**/s/ Robert M. Slack**

**Robert M. Slack/Member**

Signer/Title

Ahern Rentals  
P. O. Box 271390  
Las Vegas, NV 89127-1390

Dental Blue Select  
ATTN: Billing Department  
P. O. Box 2400  
Winston Salem, NC 27102

InServ Corporation  
121 Dickens Road  
Fuquay Varina, NC 27526

BB&T  
632 Dabney Drive  
Henderson, NC 27536

Eck Supply Company  
P. O. Box 890250  
Charlotte, NC 28289-0250

James S. Livermon, III  
Poyner Spruill  
PO Box 353  
Rocky Mount, NC 27802

BB&T Credit Cards  
632 Dabney Drive  
Henderson, NC 27536

Electric Supply & Equipment Company  
P. O. Box 601118  
Charlotte, NC 28260-1118

James S. Livermon, III  
Poyner Spruill  
PO Box 353  
Rocky Mount, NC 27802

BCBS of NC  
P. O. Box 580017  
Charlotte, NC 28258-0017

Express Employment Professionals  
P. O. Box 535434  
Atlanta, GA 30353-5434

Mobile Mini, Inc.  
P. O. Box 740773  
Cincinnati, OH 45274-0773

C.E.S. (City Electric Accounts-Ral)  
P. O. Box 16707  
Greensboro, NC 27416

Fastenal  
P. O. Box 978  
Winona, MN 55987-1286

Moveable Cubicle  
6404 Falls of Neuse Road  
Suite 200  
Raleigh, NC 27615

Carolina Office Systems  
12345 Reese Blvd. West  
Suite #130  
Huntersville, NC 28078

Fred's Towing & Transport, Inc.  
400 Industry Drive  
Henderson, NC 27537

O'Reilly Auto  
P. O. Box 9464  
Springfield, MO 65801-9464

CED  
P. O. Box 1510  
Cary, NC 27512-1510

Grainger  
Dept. 873408165  
Palatine, IL 60038-0001

Robert Campbell  
7 Ector Street, Apt D  
Asheville, NC 28806

City of Henderson  
P. O. Box 1434  
Henderson, NC 27536

Gregory Poole  
P. O. Box 60457  
Charlotte, NC 28260

Terminix  
P. O. Box 2587  
Fayetteville, NC 28302-2587

Crystal Springs  
P. O. Box 660579  
Dallas, TX 75266-0579

HILTI INC  
PO Box 382002  
Pittsburgh, PA 15250

Vance County Tax Office  
122 Young Street  
Suite E  
Henderson, NC 27536

Wells Fargo  
P. O. Box 6434  
Carol Stream, IL 60197-6434

William L. Stark and Company  
P. O. Box 911  
Henderson, NC 27536



**United States Bankruptcy Court  
Eastern District of North Carolina**

In re **Advanced Lighting Technologies, LLC**

Debtor(s)

Case No.

Chapter

**11**

**CORPORATE OWNERSHIP STATEMENT (RULE 7007.1)**

Pursuant to Federal Rule of Bankruptcy Procedure 7007.1 and to enable the Judges to evaluate possible disqualification or recusal, the undersigned counsel for **Advanced Lighting Technologies, LLC** in the above captioned action, certifies that the following is a (are) corporation(s), other than the debtor or a governmental unit, that directly or indirectly own(s) 10% or more of any class of the corporation's(s') equity interests, or states that there are no entities to report under FRBP 7007.1:

**Robert M. Slack**  
**3012 Hidden Springs Lane**  
**Oxford, NC 27565**

---

☐ None [*Check if applicable*]

**January 6, 2015**

Date

**/s/ Philip W. Paine**

**Philip W. Paine**

Signature of Attorney or Litigant

Counsel for **Advanced Lighting Technologies, LLC**

**Jordan Price Wall Gray Jones & Carlton**

**1951 Clark Avenue**

**Raleigh, NC 27605**

**919-828-2501 Fax:919-834-8447**

**ppaine@jordanprice.com**